

Shyam K. Kolvekar  
Hans K. Pilegaard  
*Editors*

# Chest Wall Deformities and Corrective Procedures

---

# Chest Wall Deformities and Corrective Procedures



---

Shyam K. Kolvekar • Hans K. Pilegaard  
Editors

# Chest Wall Deformities and Corrective Procedures

 Springer

*Editors*

Shyam K. Kolvekar  
Consultant Cardiothoracic Surgeon  
The Heart Hospital UCLH  
London  
UK

Hans K. Pilegaard  
Associate Professor  
Department of Cardiothoracic and  
Vascular Surgery  
Department of Clinical Medicine  
Aarhus University Hospital, Denmark  
Aarhus  
Denmark

ISBN 978-3-319-23966-8      ISBN 978-3-319-23968-2 (eBook)  
DOI 10.1007/978-3-319-23968-2

Library of Congress Control Number: 2015957217

Springer Cham Heidelberg New York Dordrecht London  
© Springer International Publishing Switzerland 2016

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, express or implied, with respect to the material contained herein or for any errors or omissions that may have been made.

Printed on acid-free paper

Springer International Publishing AG Switzerland is part of Springer Science+Business Media  
([www.springer.com](http://www.springer.com))

---

## Preface

Chest wall deformities can be categorized as either congenital or acquired. Acquired deformities arise post-traumatically after surgery for lung cancer or a pneumonectomy. Some deformities are associated with spinal malformations, for example kyphosis, it can also be part and parcel of other defects such as Marfan's syndrome. The most common congenital defect is pectus excavatum; this can be severe in some cases and occasionally leads to minimal depression. Symptoms are not always visible in every case. Most severe cases present intolerance to exercise, pain and fatigue. Psychological impact is seen amongst patients due to cosmetic appearance, and this can affect day-to-day working and cause distress socially: finding problems with friendship, relationships and sometimes can affect self-esteem and confidence. Treatment options were invasive initially, using skeletal correction techniques. Now, more minimally invasive procedures are carried out to return the sternum to normal position.

Pectus excavatum is described as a congenital deformity of the anterior chest wall, caused by excessive growth of the connective tissue uniting the sternum and adjacent ribs. The sternal body is depressed and sunken at the xiphisternal junction. The lower costal cartilages buckle inwards to form the depression. Pectus excavatum is relatively common and observed in one in every 400 live births with a male: female ratio of 4:1. In 15–40 % of cases there is a close relative on either side of the family with the same deformity, and a higher preponderance among Caucasians is observed. It is far more frequent than other connective tissue abnormalities. For example, Marfan's syndrome is observed in one in every 5000 live births, and Noonan's syndrome is observed in one in every 2500 live births.

The compression of the sternum limits thoracic volume and therefore vital capacity, negatively impacting exercise tolerance and endurance during cardiovascular exercise. In some cases, cardiac compression is observed. This causes a significant reduction in cardiac output further contributing to exercise intolerance and fatigue. Postoperative research has been undertaken to prove that surgical intervention has significantly benefitted patients' respiratory function and exercise tolerance.

Furthermore, pectus excavatum has profound effects on the psychological state of the individual suffering with the deformity. Pectus excavatum patients suffer frequent embarrassment over physical appearance and teasing by childhood peers. The typical patient starts to become aware of the condition at the onset of puberty, and this has detrimental effects on the individual's

confidence and happiness in early adolescence. In fact, 80 % of patients undergoing treatment admitted to suffering with psychological limitations concerning attractiveness, self-esteem and somatisation. In severe cases, some individuals may retreat from society and cease to socialise with peers or participate in exposing sporting activities, such as swimming. This led to the labelling of pectus excavatum as a psychosomatic disorder and further merited surgical and non-surgical intervention.

There are many modes of treatment from braces to implants with varying success. The NUSS procedure which was introduced by Prof. Donald Nuss was initially offered to children, but recently more adult patients have been offered this with exceptional results. In this book we shall discuss the common treatments and options with one of the experts in the field.

Initially, the deformity was surgically corrected through the Ravitch procedure. Now, more commonly, the Nuss procedure is undertaken to readjust and advance the sternal position with the use of a concave steel bar inserted retrosternal through bilateral incisions. The intervention has very few documented side effects but causes marginal postoperative pain that varies amongst individuals. The pain is usually mild and short lasting; however, effective pain management greatly influences a patient's satisfaction and perspective on the success of the treatment. Pain management differs amongst institutions with the majority using thoracic epidurals. Few institutions utilise patient controlled anaesthesia, and these centres believe that it should become the more widely used option postoperatively as it decreases the length of hospitalisation after the intervention. The 'minimally invasive' Nuss procedure is growing in popularity due to infrequent complications, very few side effects and a short length of hospitalisation, lasting 3–4 days, post-operation.

The following chapters will outline various aspects of the management, treatment and consequences of the disorder. Our aim is to provide information around the different treatment options available, their possible complications and future necessities for public education.

---

# Contents

<b>1 Introduction</b> . . . . .	1
Shyam K. Kolvekar	
<b>2 Developmental and Epidemiology</b> . . . . .	7
Shyam K. Kolvekar, Natalie L. Simon, and Trupti Kolvekar	
<b>3 History</b> . . . . .	13
Natalie L. Simon, Trupti Kolvekar, and Shyam K. Kolvekar	
<b>4 Pectus Excavatum</b> . . . . .	17
Shyam K. Kolvekar and Nikolaos Panagiotopoulos	
<b>5 Pectus Carinatum</b> . . . . .	21
Shyam K. Kolvekar and Nikolaos Panagiotopoulos	
<b>6 Investigations for Chest Wall Deformities</b> . . . . .	25
Rajeev Shukla, Trupti Kolvekar, and Shyam K. Kolvekar	
<b>7 Indexes for Pectus Deformities</b> . . . . .	35
Marcelo Martinez-Ferro	
<b>8 Traditional Treatment for Chest-Wall Deformities and Novel Treatment Methods</b> . . . . .	61
Natalie L. Simon and Shyam K. Kolvekar	
<b>9 Minimal Invasive Repair of Pectus Excavatum</b> . . . . .	67
Hans K. Pilegaard	
<b>10 Personal Experience in Minimally Invasive Treatment of Pectus Carinatum</b> . . . . .	71
Mustafa Yuksel	
<b>11 Pain Management in the Surgical Correction of Chest Wall Deformities</b> . . . . .	79
Elizabeth M.C. Ashley	
<b>12 Cardiopulmonary Function in Relation to Pectus Excavatum</b> . . . . .	87
Marie Maagaard and Hans K. Pilegaard	
<b>13 Other Chest Wall Deformities</b> . . . . .	91
Shyam K. Kolvekar and Nikolaos Panagiotopoulos	



---

<b>14</b>	<b>Acquired Chest Wall Deformities and Corrections</b> . . . . .	99
	Herbert J. Witzke, Natalie L. Simon, and Shyam K. Kolvekar	
<b>15</b>	<b>Revision of Prior Failed/Recurrent Pectus Excavatum Surgery</b> . . . . .	109
	Dawn E. Jaroszewski and Kevin J. Johnson	
<b>16</b>	<b>Role of Plastic Surgery in Chest Wall Corrections</b> . . . . .	129
	Simon Withey and Robert A. Pearl	
<b>17</b>	<b>Non-surgical Treatment for Pectus Excavatum and Carinatum</b> . . . . .	137
	Frank-Martin Haecker and Marcelo Martinez-Ferro	
<b>18</b>	<b>Patient Experience Before and After Treatment: Psychological Effects and Patients' Personal Experience</b> . . . . .	161
	Shyam K. Kolvekar, Natalie L. Simon, and Trupti Kolvekar	
	<b>Index</b> . . . . .	169

---

## Contributors

**Elizabeth M.C. Ashley, BSc, MBChB, FRCA, FFICM** Department of Anesthesia and Cardiothoracic Intensive Care, The Heart Hospital UCLH, London, UK

**Frank-Martin Haecker, MD, FEAPU** Department of Pediatric Surgery, University Children's Hospital, Basel, Switzerland

**Dawn E. Jaroszewski, MD, MBA, FACS** Department of Cardiothoracic Surgery, Mayo Clinic Arizona, Phoenix, AZ, USA

**Kevin J. Johnson, MD** Department of General Surgery, Mayo Clinic Arizona, Phoenix, AZ, USA

**Shyam K. Kolvekar, MS, MCh, FRCS, FRCSTh** Department of Cardiothoracic Surgery, University College London Hospitals, The Heart Hospital and Barts Heart Center, London, UK

**Trupti Kolvekar, BSc Biochemistry (Hon)** The Department of Structural Molecular Biology, University College London, London, UK

**Marie Maagaard, PhD Student** Department of Cardiothoracic and Vascular Surgery, Aarhus University Hospital, Aarhus, Denmark

Department of Clinical Medicine, Aarhus University Hospital, Aarhus, Denmark

**Marcelo Martinez-Ferro, MD** Department of Surgery, Fundacion Hospitalaria Children's Hospital, Buenos Aires, Argentina

**Nikolaos Panagiotopoulos, MD, PhD** Department of Cardiothoracic Surgery, University College London Hospitals (UCLH), London, UK

**Robert A. Pearl, MD, FRCS(Plast)** Department of Plastic and Reconstructive Surgery, Queen Victoria Hospital, East Grinstead, UK

**Hans K. Pilegaard, MD** Associate Professor, Department of Cardiothoracic and Vascular Surgery, Department of Clinical Medicine, Aarhus University Hospital, Denmark, Aarhus, Denmark

**Rajeev Shukla, MChem(Hons), MB, BS(Lon)** Department of Cardiothoracic Surgery, The Heart Hospital, University College London Hospital NHS Trust, London, UK

**Natalie L. Simon, MBBS** Department of School of Medical Education,  
Kings College London, London, UK

**Simon Withey, MBBS, FRCS FRCS(Ed) FRCS(Plast)** Department  
of Plastic and Reconstructive Surgery, The Royal Free Hospital,  
University College Hospital London, London, UK

**Herbert J. Witzke, MD** Department of Cardiothoracic Surgery,  
University Hospital College London, London, UK

**Mustafa Yuksel, MD, PhD** Department of Thoracic Surgery,  
Marmara University Hospital, Istanbul, Turkey

Shyam K. Kolvekar

---

## Abstract

Among all chest wall deformities Pectus Excavatum (PE) or funnel chest represents the most common congenital chest wall deformity accounting for 90 % of all deformities. Pectus Carinatum (PC) or protrusion deformity of the chest wall accounts for 5 % of all chest wall deformities affecting 1 in 2500 live births. Surgical intervention has significantly benefitted patient respiratory function and exercisetolerance. Initially, the deformity was surgically corrected through the Ravitch procedure The introduction of the NUSS procedure in 1998 for the surgical correction of pectus excavatum was the beginning of a new era for the management of chest wall deformities.

---

## Keywords

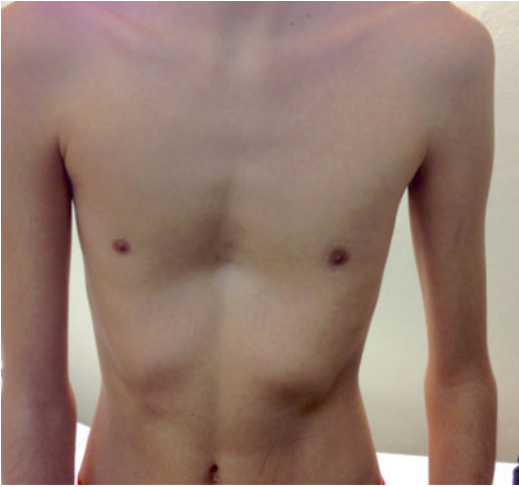
Pectus Excavatum (PE) • Pectus Carinatum (PC) • Ravitch procedure • NUSS procedure

Pectus excavatum is described as a congenital deformity of the anterior chest wall, caused by excessive growth of the connective tissue uniting the sternum and adjacent ribs. The sternal body is depressed and sunken at the xiphisternal junction. The lower costal cartilages buckle inwards to form the depression. Pectus excavatum is relatively common and observed in one in

every 400 live births with a male: female ratio of 4:1. In 15–40 % of cases there is a close relative on either side of the family with the same deformity and a higher preponderance among Caucasians is observed. It is far more frequent than other connective tissue abnormalities. For example, Marfan's syndrome is observed in one in every 5,000 live births and Noonan's syndrome is observed in one in every 2,500 live births (Fig. 1.1). Pectus excavatum is categorised as an idiopathic abnormality, however research has been conducted to hypothesise genetic defect. Other postulated hypotheses exist for the pathogenesis of PE; developmental disorders or

---

S.K. Kolvekar, MS, MCh, FRCS, FRCSCTh  
Department of Cardiothoracic Surgery,  
University College London Hospitals, The Heart  
Hospital and Barts Heart Center, London, UK  
e-mail: [kolvekar@yahoo.com](mailto:kolvekar@yahoo.com)



**Fig. 1.1** Pectus excavatum

cartilage overgrowth. Although both may contribute to the deformation, in further chapters we present another hypothesis relating to genetic in growth factor-like signaling molecule involved in the uniting of sternal cartilage and adjacent ribs.

The compression of the sternum limits thoracic volume and therefore vital capacity, negatively impacting exercise tolerance and endurance during cardiovascular exercise. In some cases, cardiac compression is observed. This causes a significant reduction in cardiac output further contributing to exercise intolerance and fatigue.

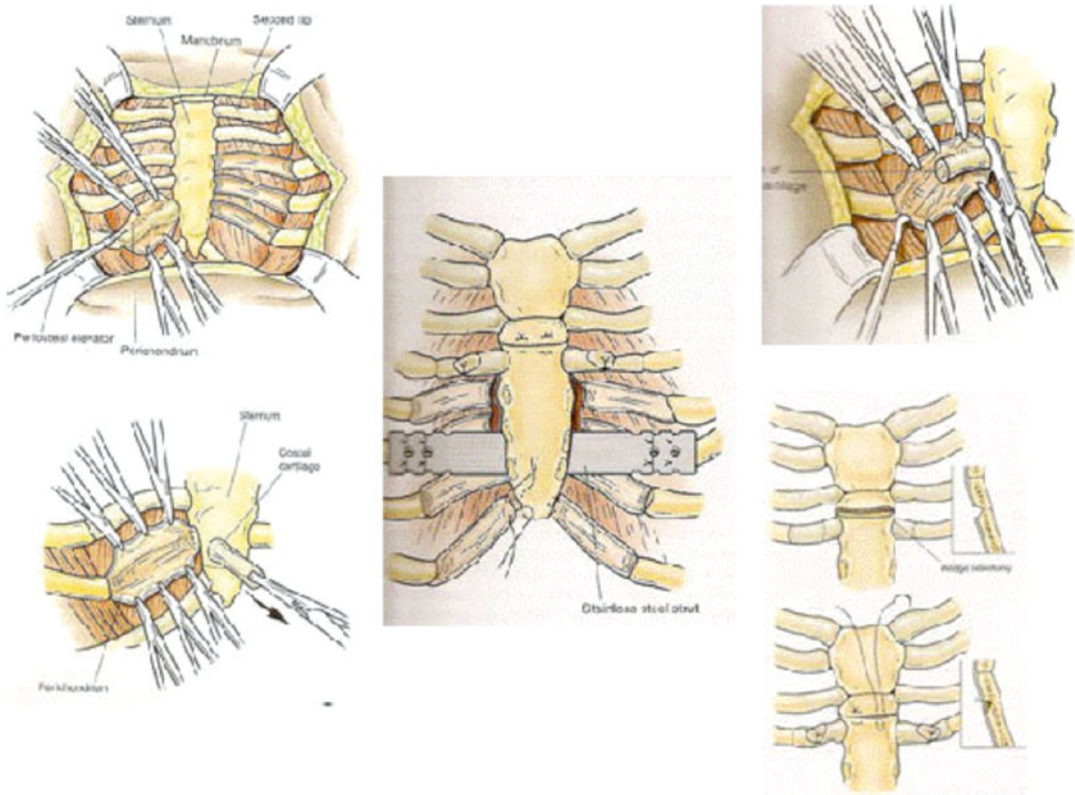
Surgical intervention has significantly benefited patient respiratory function and exercise tolerance. Initially, the deformity was surgically corrected through the Ravitch procedure. Now, more commonly, the Nuss procedure is undertaken to readjust and advance the sternal position with the use of a concave steel bar inserted retrosternal through bilateral incisions. The intervention has very few documented side effects but causes marginal postoperative pain that varies amongst individuals. The pain is usually mild and short-lasting, however, effective pain management greatly influences a patient's satisfaction and perspective on the success of the treatment. Pain management differs amongst institutions with the majority using thoracic epidurals. Few institutions utilise patient controlled anaesthesia and these centres believe that it

should become the more widely used option postoperatively as it decreases the length of hospitalisation after the intervention (Fig. 1.2).

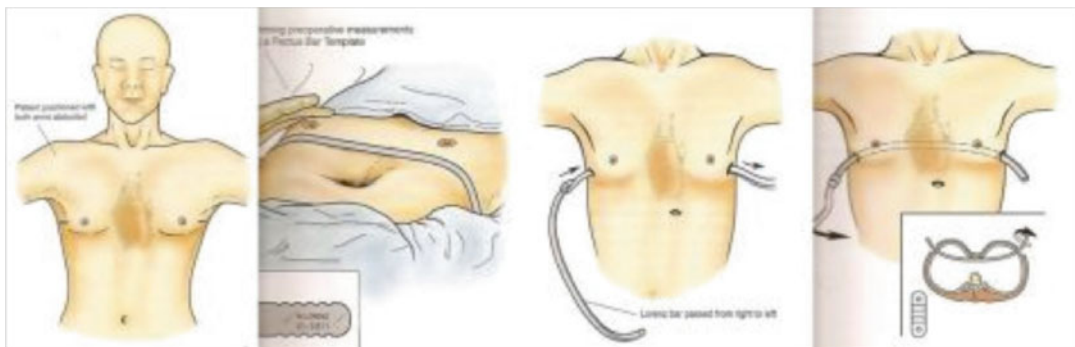
The introduction of the NUSS procedure in 1998 for the surgical correction of pectus excavatum was the beginning of a new era for the management of chest wall deformities and a new significant chapter in the modern Thoracic Surgery [1]. The 'minimally-invasive' Nuss procedure is growing in popularity due to infrequent complications, very few side effects and a short length of hospitalisation, lasting 2–4 days, post-operation (Fig. 1.3).

Furthermore, pectus excavatum has profound effects on the psychological state of the individual suffering with the deformity. Pectus excavatum patients suffer frequent embarrassment over physical appearance and teasing by childhood peers. The typical patient starts to become aware of the condition at the onset of puberty and this has detrimental effects on the individual's confidence and happiness in early adolescence. In fact, 80 % of patients undergoing treatment admitted to suffering with psychological limitations concerning attractiveness, self-esteem and somatization. In severe cases, some individuals may retreat from society and cease to socialize with peers or participate in exposing sporting activities, such as swimming. This led to the labeling of pectus excavatum as a psychosomatic disorder and further merited surgical and non-surgical intervention.

Over the decades different studies revealed that most of deformities are familiar with a strong genetic involvement and usually related with other syndromes, anomalies and defects making the management challenging [2]. Nevertheless the majority of chest wall anomalies remain rare clinical entities and some of them like thoracic ectopia cordis are not compatible with life and very unlikely to be benefited by a surgical procedure [3]. The approach of chest wall deformities is still controversial as it's not the clinical symptoms – mainly cardiopulmonary – but also the psychosocial aspects and effects of poor cosmetic that have a huge impact to the quality of life [4]. For that reason in recent years has been a significant increase in the interest of clinicians for assessment and management of these patients.



**Fig. 1.2** Ravitch procedure



**Fig. 1.3** Nuss procedure

Toward that direction new assessment criteria have been established and new minimally invasive surgical techniques have been introduced. Different classifications have been proposed through years to categories chest wall anomalies. In 2006 Acastello classified them into five types depending on the site of origin of the anomaly

(type I: cartilagineous, type II: costal, type III: chondro-costal, type IV: sternal, type V: clavicle-scapular) [5].

Among all chest wall deformities Pectus Excavatum (PE) or funnel chest represents the most common congenital chest wall deformity accounting for 90 % of all deformities [6]. The

first description came from Bauhinus<sup>1</sup> in the sixteenth century [7] and main characteristic is the depression of sternum and lower cartilages [8] with an incidence between 1 and 8 per 1000 children [9].

Pectus Carinatum (PC) or protrusion deformity of the chest wall accounts for 5 % of all chest wall deformities affecting 1 in 2500 live births [10]. It can be unilateral, bilateral or mixed and there is predominance in males (Fig. 1.4) [11].

Pectus arcuatum represents a rare category of chest wall deformities in the family of pectus anomalies and It includes mixed excavatum and carinatum features along a longitudinal or transversal axis resulting in a multiplanar curvature of the sternum and adjacent ribs (Fig. 1.5) [12].

Poland syndrome (PS) is classified as a chondrocostal chest wall deformity with main clinical manifestation the underdevelopment or absence of the major pectorals muscle [13]. Is a congenital unilateral chest wall deformity that affects both males and females in a ratio of 3:1 and with an incident variation from 1 to 70,000 to 1 to 100,000 live births [14].

Sternal cleft represents a rare idiopathic chest wall deformity caused by a defect in the sternum's fusion process. It accounts for 0.15 % of all chest wall deformities [15] and there is an association with the Hexb gene [16]. There are four types of sternal clefts according to the classification proposed by Schamberger and Welch in 1990 [17].

Jeune Syndrome, also known as Asphyxiating Thoracic Dystrophy (ATD) is a rare autosomal recessive skeletal dysplasia with multiorgan involvement. It was first described by Jeune et al. [18] in 1954 and it affects 1 per 10,000 to 13,000 live births [19]. There are two subtypes of the syndrome with severe subtype being incompatible with life [20].

The following chapters will outline various aspects of the management, treatment and consequences of the disorder. Our aim is to provide information around the different treatment options available, their possible complications and future necessities for public education.



**Fig. 1.4** Pectus carinatum



**Fig. 1.5** Pectus arcuatum