Advances in Neurobiology 15

Philip Beart Michael Robinson Marcus Rattray Nicholas J. Maragakis *Editors*

Neurodegenerative Diseases

Pathology, Mechanisms, and Potential Therapeutic Targets



Advances in Neurobiology

Volume 15

Series Editor Arne Schousboe

More information about this series at http://www.springer.com/series/8787

Philip Beart • Michael RobinsonMarcus Rattray • Nicholas J. MaragakisEditors

Neurodegenerative Diseases

Pathology, Mechanisms, and Potential Therapeutic Targets



Editors Philip Beart University of Melbourne Florey Institute of Neuroscience and Mental Health Parkville, Australia

Marcus Rattray School of Life Sciences Bradford School of Pharmacy University of Bradford Bradford, UK Michael Robinson Pediatrics and Pharmacology Children's Hospital of Philadelphia University of Pennsylvania Philadelphia, PA, USA

Nicholas J. Maragakis Department of Neurology Johns Hopkins University School of Medicine Baltimore, MD, USA

ISSN 2190-5215 Advances in Neurobiology ISBN 978-3-319-57191-1 DOI 10.1007/978-3-319-57193-5 ISSN 2190-5223 (electronic) ISBN 978-3-319-57193-5 (eBook)

Library of Congress Control Number: 2017942735

© Springer International Publishing AG 2017

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, express or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publisher remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Printed on acid-free paper

This Springer imprint is published by Springer Nature

The registered company is Springer International Publishing AG

The registered company address is: Gewerbestrasse 11, 6330 Cham, Switzerland

Preface

The World Health Organization has estimated that neurological disorders account for 11% of all deaths worldwide and over one billion years of healthy life lost through disability. Indeed their document, "Neurological disorders: public health challenges" (http://www.who.int/mental_health/neurology/neurological_disorders_report_web. pdf), emphasizes that internationally we face a global public health challenge with policy-makers and health-care providers poorly prepared for a rise in neurological disorders, and the disabilities associated with increasing aged populations. This report stresses the need for (1) disease prevention, (2) reducing disease severity and progression through early detection, and (3) improved interventions. The pathway to improving interventions and reducing the severity of disease for individuals requires basic and clinical insights into neuropathologies. Only with an understanding of disease mechanisms can sites for drug discovery be identified and targeted. Insight into disease processes and mechanisms will impact upon diagnosis, therapeutic management, and patient care.

Our understanding of the molecular and cellular neurobiology of neurodegenerative conditions has grown substantially in the twenty-first century with stunning insights in the last 5 years underpinned by new views of underlying disease pathobiology. Long subclinical phases in many neuropathologies are now receiving attention, especially when taken in the context of the unique discoveries arising from analyses of disease biomarkers and contemporary neuroimaging. Here in particular "omics-related" research in animal and cellular models and in human postmortem tissue has allowed the definition of disease mechanisms and therapeutic targets that have to be considered as totally mind-boggling.

Neurodegenerative Diseases: Pathology, Mechanisms, and Potential Therapeutic Targets provides a comprehensive coverage of these latest advances not only in the major neurodegenerative conditions, but also in other neurological conditions which involve progressive neuronal injury and dysfunction. Our strategy was deliberately threefold and features contributions from leading international researchers from both clinical and basic perspectives, plus coverage of pathobiological mechanisms and emergent technologies whose wide-ranging application have driven progress. Not only does the coverage include the traditional neurodegenerative pathologies, Alzheimer's, Parkinson's, and Huntington's diseases, but a diverse group of conditions where neurodegeneration and downstream events occur are also placed in their up-todate context. We include chapters on some diseases not classically considered "neurodegenerative disorders" including schizophrenia and epilepsy, but for which there is evidence that these disorders can be associated with a progressive neurodegenerative phenotype. While the projected financial impact of treating Alzheimer's disease is daunting because of the number of individuals who will be affected, the costs of diseases like schizophrenia are higher on a per patient basis because the disease starts early in life and frequently limits an individual's lifetime productivity.

Although there is variance across neurodegenerative conditions in terms of diagnosis and clinical management, several common themes emerge. In many of these disorders, the disease process including pathology likely starts before there is any functional evidence of disease. Thus, much like cholesterol or LDL levels can be used as screening tools to identify individuals who are at risk for future cardiovascular disease, there is a critical need to develop and validate specific biomarkers of these various disorders. It is also clear that advances in neuroimaging have had a huge impact over the last decade, with whole-brain tractography and diagnostic imaging agents providing significant advances. Finally, as was required for the development of statins (the class of drugs that reduce cholesterol synthesis), it will be critical to understand both basic biological mechanisms and how diseases change this biology.

For every neurological disease, there is unmet need for disease-modifying therapeutic treatments that arrest underlying pathological processes. While progress has been made in individual conditions through genetic, pathological, and biological studies of disease mechanisms, it is clear that these research efforts must continue in the quest for effective therapeutic agents. Mechanistic insights inform the design of disease-modifying therapies. In this volume there are numerous insights into potential therapeutic targets, which offer translational opportunities. As well as coverage of different disease pathologies, common themes emerging in this volume include the neurobiology of misfolded proteins and proteostasis, which involves both autophagic and proteasomal mechanisms. New evidence for the roles of glial cells in neuropathology focuses attention on neuroinflammation in neurodegeneration, a phenomenon which rates frequent mention here across disease conditions.

Finally, the editors extend their sincere thanks to all authors for their patience, commitment, and overall effort to making this highly international volume such a success. The continued support of Michal Koy and other staff at Springer is generously acknowledged.

Parkville, Australia Philadelphia, PA, USA Bradford, UK Baltimore, MD, USA Philip Beart Michael Robinson Marcus Rattray Nicholas J. Maragakis

Contents

Part I Major Neurodegenerative Conditions

1	Alzheimer's Disease: Insights from Genetic Mouse Models and Current Advances in Human IPSC-Derived Neurons Anne E. Harasta and Lars M. Ittner	3
2	Clinical Aspects of Alzheimer's Disease Fiona Kumfor, Glenda M. Halliday, and Olivier Piguet	31
3	Parkinson's Disease: Basic Pathomechanisms and a Clinical Overview Alastair Noyce and Rina Bandopadhyay	55
4	Huntington's Disease: Pathogenic Mechanisms and Therapeutic Targets Dean J. Wright, Thibault Renoir, Laura J. Gray, and Anthony J. Hannan	93
5	The Complexity of Clinical Huntington's Disease: Developments in Molecular Genetics, Neuropathology and Neuroimaging Biomarkers Lynette J. Tippett, Henry J. Waldvogel, Russell G. Snell, Jean-Paul Vonsattel, Anne B. Young, and Richard L.M. Faull	129
6	Motoneuron Disease: Basic Science Hristelina Ilieva and Nicholas J. Maragakis	163
7	Motoneuron Disease: Clinical Hristelina Ilieva and Nicholas J. Maragakis	191

t II Other Neurological Conditions	
Schizophrenia: Basic and Clinical Joseph T. Coyle	255
Stroke: Basic and Clinical Tarvinder P. Singh, Jonathan R. Weinstein, and Sean P. Murphy	281
Epileptic Encephalopathies as Neurodegenerative Disorders Ingo Helbig, Markus von Deimling, and Eric D. Marsh	295
Neurodegeneration and Pathology in Epilepsy: Clinical and Basic Perspectives . Jordan S. Farrell, Marshal D. Wolff, and G. Campbell Teskey	317
Prion Diseases Benjamin C. Whitechurch, Jeremy M. Welton, Steven J. Collins, and Victoria A. Lawson	335
Leukodystrophy: Basic and Clinical Gerald V. Raymond	365
Traumatic Brain Injury as a Trigger of Neurodegeneration Victoria E. Johnson, William Stewart, John D. Arena, and Douglas H. Smith	383
t III Key Background and Key Technologies	
Cell Death Mechanisms of Neurodegeneration Jing Fan, Ted M. Dawson, and Valina L. Dawson	403
Neuroglia: Functional Paralysis and Reactivity in Alzheimer's Disease and Other Neurodegenerative Pathologies Alexei Verkhratsky, Robert Zorec, J.J. Rodriguez, and Vladimir Parpura	427
Advances in Neuroimaging for Neurodegenerative Disease Michele Veldsman and Natalia Egorova	451
Gene Linkage and Systems Biology Mark R. Cookson	479
Biomarkers in Neurodegenerative Diseases Andreas Jeromin and Robert Bowser	491
ex	529
	Schizophrenia: Basic and Clinical

Contributors

John Arena Department of Neurosurgery, Penn Center for Brain Injury and Repair, University of Pennsylvania, Philadelphia, PA, USA

Rina Bandopadhyay, Ph.D. Department of Molecular Neuroscience, Reta Lila Weston Institute of Neurological Studies, UCL Institute of Neurology, London, UK

Robert Bowser, Ph.D. Iron Horse Diagnostics, Inc., Scottsdale, AZ, USA

Divisions of Neurology and Neurobiology, Barrow Neurological Institute, St. Joseph's Hospital and Medical Center, Phoenix, AZ, USA

Katherine Buzzard, B.Sc. (Hons.), Ph.D., M.B.B.S. Department of Neurology, Royal Melbourne Hospital, Parkville, VIC, Australia

Wing Hei Chan, M.Phil. Department of Anatomy and Neuroscience, The University of Melbourne, Parkville, VIC, Australia

Steven J. Collins, M.B.B.S., M.D. Departments of Pathology and Medicine, The University of Melbourne, Parkville, VIC, Australia

Florey Institute of Neuroscience and Mental Health, The University of Melbourne, Parkville, VIC, Australia

Mark R. Cookson Laboratory of Neurogenetics, NIA, NIH, Bethesda, MD, USA

Joseph T. Coyle, M.D. McLean Hospital, Belmont, MA, USA

Ted M. Dawson, M.D., Ph.D. Neuroregeneration and Stem Cell Programs, Institute for Cell Engineering, Johns Hopkins University School of Medicine, Baltimore, MD, USA Department of Neurology, Johns Hopkins University School of Medicine, Baltimore, MD, USA

Solomon H. Snyder Department of Neuroscience, Johns Hopkins University School of Medicine, Baltimore, MD, USA

Department of Pharmacology and Molecular Sciences, Johns Hopkins University School of Medicine, Baltimore, MD, USA

Valina L. Dawson, Ph.D. Neuroregeneration and Stem Cell Programs, Institute for Cell Engineering, Johns Hopkins University School of Medicine, Baltimore, MD, USA

Department of Neurology, Johns Hopkins University School of Medicine, Baltimore, MD, USA

Solomon H. Snyder Department of Neuroscience, Johns Hopkins University School of Medicine, Baltimore, MD, USA

Department of Pharmacology and Molecular Sciences, Johns Hopkins University School of Medicine, Baltimore, MD, USA

Department of Physiology, Johns Hopkins University School of Medicine, Baltimore, MD, USA

Markus von Deimling Division of Neurology, The Children's Hospital of Philadelphia, Philadelphia, PA, USA

Department of Neuropediatrics, Christian-Albrechts-University of Kiel and University Medical Center Schleswig-Holstein (UKSH), Kiel, Germany

Natalia Egorova The Florey Institute of Neuroscience and Mental Health, Melbourne Brain Centre, Heidelberg, VIC, Australia

Jing Fan, Ph.D Neuroregeneration and Stem Cell Programs, Institute for Cell Engineering, Johns Hopkins University School of Medicine, Baltimore, MD, USA

Department of Neurology, Johns Hopkins University School of Medicine, Baltimore, MD, USA

Jordan S. Farrell Cell Biology and Anatomy, University of Calgary, Calgary, AB, Canada

Richard L.M. Faull, M.B., Ch.B., Ph.D., D.Sc. Centre for Brain Research, The University of Auckland, Auckland, New Zealand

Department of Anatomy and Medical Imaging, The University of Auckland, Auckland, New Zealand

Laura J. Gray, Ph.D. Florey Institute of Neuroscience and Mental Health, University of Melbourne, Parkville, VIC, Australia

Faculty of Health, School of Medicine, Deakin University, Geelong, VIC, Australia

Glenda M. Halliday, B.Sc. (Hons.), Ph.D. School of Psychology, Central Medical School and Brain & Mind Centre, University of Sydney, Sydney, NSW, Australia

Anthony J. Hannan, Ph.D. Florey Institute of Neuroscience and Mental Health, University of Melbourne, Parkville, VIC, Australia

Department of Anatomy and Neuroscience, University of Melbourne, Parkville, VIC, Australia

Anne E. Harasta, Ph.D. Dementia Research Unit, Department of Anatomy, School of Medical Sciences, Faculty of Medicine, The University of New South Wales, Sydney, NSW, Australia

Ingo Helbig, **M.D.** Division of Neurology, The Children's Hospital of Philadelphia, Philadelphia, PA, USA

Department of Neuropediatrics, Christian-Albrechts-University of Kiel and University Medical Center Schleswig-Holstein (UKSH), Kiel, Germany

Division of Genomic Diagnostics, Department of Pathology, The Children's Hospital of Philadelphia, Philadelphia, PA, USA

Hristelina Ilieva, M.D., Ph.D. Department of Neurology, Johns Hopkins University, Baltimore, MD, USA

Lars M. Ittner, M.D. Dementia Research Unit, Department of Anatomy, School of Medical Sciences, Faculty of Medicine, The University of New South Wales, Sydney, NSW, Australia

Transgenic Animal Unit, Mark Wainwright Analytical Centre, The University of New South Wales, Sydney, NSW, Australia

Neuroscience Research Australia, Sydney, NSW, Australia

Andreas Jeromin Iron Horse Diagnostics, Inc., Scottsdale, AZ, USA

Victoria E. Johnson Department of Neurosurgery, Penn Center for Brain Injury and Repair, University of Pennsylvania, Philadelphia, PA, USA

Trevor Kilpatrick, M.B.B.S., Ph.D., F.R.A.C.P Melbourne Neuroscience Institute, The University of Melbourne, Parkville, VIC, Australia

Fiona Kumfor, Ph.D., M.Clin. Neuropsych. School of Psychology, Central Medical School and Brain & Mind Centre, University of Sydney, Sydney, NSW, Australia

Victoria A. Lawson, B.Sc. (Hons.), Ph.D. Department of Pathology, The University of Melbourne, Parkville, VIC, Australia

Florey Institute of Neuroscience and Mental Health, The University of Melbourne, Parkville, VIC, Australia

Nicholas J. Maragakis, M.D. Department of Neurology, Johns Hopkins University, Baltimore, MD, USA

Eric Marsh, M.D., Ph.D. Division of Child Neurology, The Children's Hospital of Philadelphia, Philadelphia, PA, USA

Department of Neurology, Perelmen School of Medicine at the University of Pennsylvania, Philadelphia, PA, USA

Department of Pediatrics, Perelmen School of Medicine at the University of Pennsylvania, Philadelphia, PA, USA

Sean P. Murphy Neurological Surgery, University of Washington School of Medicine, Seattle, WA, USA

Simon Murray, B.Sc. (Hons.), B.App.Sci., Ph.D. Department of Anatomy and Neuroscience, The University of Melbourne, Parkville, VIC, Australia

Multiple Sclerosis Research Division, The Florey Institute of Neuroscience and Mental Health, Parkville, VIC, Australia

Alastair Noyce, Ph.D., M.R.C.P. Department of Molecular Neuroscience, Reta Lila Weston Institute of Neurological Studies, UCL Institute of Neurology, London, UK

Vladimir Parpura, M.D., Ph.D. Department of Neurobiology, Civitan International Research Center and Center for Glial Biology in Medicine, Evelyn F. McKnight Brain Institute, Atomic Force Microscopy & Nanotechnology Laboratories, University of Alabama, Birmingham, AL, USA

Olivier Piguet, M.A. (Clin. Neuropsych.), Ph.D. School of Psychology, Central Medical School and Brain & Mind Centre, University of Sydney, Sydney, NSW, Australia

Gerald V. Raymond, M.D. Pediatric Neurology, University of Minnesota Medical Center, Minneapolis, MN, USA

Department of Neurology, University of Minnesota Medical Center, Minneapolis, MN, USA

Thibault Renoir, Ph.D. Florey Institute of Neuroscience and Mental Health, University of Melbourne, Parkville, VIC, Australia

J.J. Rodriguez, Ph.D. Achucarro Center for Neuroscience, IKERBASQUE, Basque Foundation for Science, Bilbao, Spain

Department of Neurosciences, University of the Basque Country UPV/EHU and CIBERNED, Leioa, Spain

Tarvinder P. Singh, M.D. Departments of Neurology, University of Washington School of Medicine, Seattle, WA, USA

Douglas H. Smith, M.D. Department of Neurosurgery, Penn Center for Brain Injury and Repair, University of Pennsylvania, Philadelphia, PA, USA

Russell G. Snell Centre for Brain Research, The University of Auckland, Auckland, New Zealand

School of Biological Sciences, The University of Auckland, Auckland, New Zealand

William Stewart Department of Neurosurgery, Penn Center for Brain Injury and Repair, University of Pennsylvania, Philadelphia, PA, USA

Department of Neuropathology, Queen Elizabeth Glasgow University Hospital, Glasgow, UK

University of Glasgow, Glasgow, UK

G. Campbell Teskey, Ph.D. Cell Biology and Anatomy, University of Calgary, Calgary, AB, Canada

Lynette J. Tippett, Ph.D., Dip.Clin.Psych., M.Sc., B.Sc. Centre for Brain Research, The University of Auckland, Auckland, New Zealand

School of Psychology, The University of Auckland, Auckland, New Zealand

Michele Veldsman Nuffield Department of Clinical Neuroscience, University of Oxford, Level 6, West Wing, John Radcliffe Hospital, Oxford, UK

The Florey Institute of Neuroscience and Mental Health, Melbourne Brain Centre, Heidelberg, VIC, Australia

Alexei Verkhratsky, M.D., Ph.D. Faculty of Life Sciences, The University of Manchester, Manchester, UK

Achucarro Center for Neuroscience, IKERBASQUE, Basque Foundation for Science, Bilbao, Spain

Department of Neurosciences, University of the Basque Country UPV/EHU and CIBERNED, Leioa, Spain

University of Nizhny Novgorod, Nizhny Novgorod, Russia

Laboratory of Neuroendocrinology and Molecular Cell Physiology, Institute of Pathophysiology, University of Ljubljana, Ljubljana, Slovenia

Jean-Paul Vonsattel, M.D. Department of Pathology, Presbyterian Hospital and Columbia University New York, New York, NY, USA

Henry J. Waldvogel, Ph.D. Anatomy, M.Sc., B.Sc. Centre for Brain Research, The University of Auckland, Auckland, New Zealand

Department of Anatomy and Medical Imaging, The University of Auckland, Auckland, New Zealand

Jonathan R. Weinstein Departments of Neurology, University of Washington School of Medicine, Seattle, WA, USA

Neurological Surgery, University of Washington School of Medicine, Seattle, WA, USA

Jeremy M. Welton, B.Sc. (Hons.), Ph.D. Department of Pathology, The University of Melbourne, Parkville, VIC, Australia

Florey Institute of Neuroscience and Mental Health, The University of Melbourne, Parkville, VIC, Australia

Benjamin C. Whitechurch, B.Sc. (Hons.) Department of Pathology, The University of Melbourne, Parkville, VIC, Australia

Florey Institute of Neuroscience and Mental Health, The University of Melbourne, Parkville, VIC, Australia

Marshal D. Wolff Cell Biology and Anatomy, University of Calgary, Calgary, AB, Canada

Dean J. Wright, Ph.D. Florey Institute of Neuroscience and Mental Health, University of Melbourne, Parkville, VIC, Australia

Faculty of Health, School of Medicine, Deakin University, Geelong, VIC, Australia

Anne B. Young, M.D., Ph.D. Department of Neurology, Massachusetts General Hospital, Boston, MA, USA

Robert Zorec Laboratory of Neuroendocrinology and Molecular Cell Physiology, Institute of Pathophysiology, University of Ljubljana, Ljubljana, Slovenia

Celica, BIOMEDICAL, Ljubljana, Slovenia

Part I Major Neurodegenerative Conditions

Chapter 1 Alzheimer's Disease: Insights from Genetic Mouse Models and Current Advances in Human IPSC-Derived Neurons

Anne E. Harasta and Lars M. Ittner

Abstract Alzheimer's disease was first described in 1906 and since then tremendous efforts have been made to fully understand the disease pathology and to find a cure for this neurodegenerative disease. The diagnosis of Alzheimer's is still difficult, especially in early stages of the disease. Current treatment of Alzheimer's only ameliorates the symptoms but fails to provide a therapy. Over the last decades, animal models have been proven valuable in elucidating insights of the pathology. In vitro models using patient-derived cells are currently emerging and hold great promise in understanding the disease pathophysiology. Here, we introduce the neurobiology and genetic features of Alzheimer's and describe what we have learned from studies employing mouse models and patient-derived induced pluripotent stem cells.

Keywords Amyloid- β • Tau • Mouse models • Alzheimer's disease • Excitotoxicity • Induced pluripotent stem cells

Transgenic Animal Unit, Mark Wainwright Analytical Centre, The University of New South Wales, Sydney, NSW 2052, Australia

© Springer International Publishing AG 2017 P. Beart et al. (eds.), *Neurodegenerative Diseases*, Advances in Neurobiology 15, DOI 10.1007/978-3-319-57193-5_1

A.E. Harasta, Ph.D. (🖂)

Dementia Research Unit, Department of Anatomy, School of Medical Sciences, Faculty of Medicine, The University of New South Wales, Sydney, NSW 2052, Australia e-mail: a.harasta@unsw.edu.au

L.M. Ittner, M.D.

Dementia Research Unit, Department of Anatomy, School of Medical Sciences, Faculty of Medicine, The University of New South Wales, Sydney, NSW 2052, Australia

Neuroscience Research Australia, Sydney, NSW 2037, Australia e-mail: l.ittner@unsw.edu.au

Abbreviations

3D	Three-dimensional
ABAD	Aß-binding alcohol dehydrogenase
AD	Alzheimer's disease
AMPA	α -Amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor
ApoE	Apolipoprotein E
APP	Amyloid precursor protein
ATP	Adenosine triphosphate
	Amyloid-β
Aβ BDNF	• •
BiP	Brain-derived neurotropic factor Binding immunoglobulin protein
	• • •
cAMP cdk5	Cyclic adenosine monophosphate
	Cyclin-dependent kinase
CHOP	CCAAT-enhancer-binding protein homologous protein
CRISPR	Clustered regularly interspaced palindromic repeats
CSF	Cerebrospinal fluid
СТ	Computed tomography
CTF83	Carboxy-terminal fragment produced by the α -secretase
CTF99	Carboxy-terminal fragment produced by the β -secretase
DS	Down syndrome
FAD	Familial AD
FTD	Frontotemporal dementia
GSI	β-Secretase inhibitor
GSK3β	Glycogen synthase kinase 3β
GSM	β-Secretase modulator
hiPSCs	Human induced pluripotent stem cells
htau	Human tau
IPSCs	Induced pluripotent stem cells
MAPT	Microtubule-associated protein tau
MB	Methylene blue
miRNA	Micro RNA
MRI	Magnetic resonance imaging
mRNA	Messenger RNA
NFTs	Neurofibrillary tangles
NMDARs	N-methyl-D-aspartate receptors
NO	Nitric oxide
NR1	NMDAR subunit 1
NR2A-NR2D	NMDAR subunit 2A-D
NR3A-NR2B	NMDAR subunit 3A-B
PDGF	Platelet-derived growth factor
PET	Positron emission tomography
PIB	Pittsburgh Compound-B
PP2A	Protein phosphatase 2A
PrPC	Cellular prion protein
	r

PSD95	Postsynaptic density protein 95
PSEN	Presenilin protein
RNA	Ribonucleic acid
ROS	Reactive oxidative species
SAD	Sporadic AD
sAPPα/sAPPβ	Soluble extracellular APP fragment after α - or β -secretase pro-
	cessing, respectively
shRNA	Short hairpin RNA
SNP	Single-nucleotide polymorphism
ZFNs	Zinc-finger nucleases

1.1 General Overview of AD

In 1906, the German physician Alois Alzheimer reported the case of Auguste Deter, a 51-year-old patient with severe memory loss and profound personality changes. In his postmortem analysis on brain slices of his patient, Alois Alzheimer observed not only severe shrinkage of the brain but also the two main hallmarks of this neurodegenerative disease, extracellular senile plaques and neurofibrillary tangles (NFTs) inside cells [1]. This degenerative neurological disorder is today known as Alzheimer's disease (AD) and represents the most common cause of dementia.

Almost eight decades later, researchers discovered that the senile plaques comprise fibrillar forms of amyloid- β (A β) [2] and that NFTs are composed of hyperphosphorylated forms of the microtubule-associated protein tau [3, 4].

Since the early discoveries of AD, numbers of PubMed entries for "Alzheimer's disease" rapidly grow every year and underlying mechanisms of disease pathophysiology and progression are increasingly understood, including with the help of animal models. Here, we introduce the neuropathology and genetic features of AD and describe what we have learned from recent studies using mouse models and induced pluripotent stem cells (IPSCs).

1.2 APP Processing and β-Amyloid Plaques

The major protein component of senile plaques is a 40–42 amino-acid polypeptide termed A β (A β_{40} and A β_{42}), which derives from sequential cleavage of the amyloid- β precursor protein (APP) [2, 5]. APP undergoes sequential cleavage by α - or β -secretase that initiates two different pathways. When the α -secretase cleaves APP, a soluble extracellular fragment is formed (sAPP α) next to a carboxyl-terminal fragment (CTF83), which is further cut by a complex of proteins called γ -secretase, whose catalytic core is formed by presenilin proteins (PSEN1 and PSEN2). This

pathway is also known as the non-amyloidogenic pathway as the formation of $A\beta_{40}$ and $A\beta_{42}$ is prevented. Alternatively, APP can be cleaved by the β -secretase, resulting in a soluble extracellular fragment (sAPP β) and a carboxy-terminal fragment (CTF- β or CTF99). The latter is further processed by the γ -secretase, leading to fragments of either 40 ($A\beta_{40}$) or 42 ($A\beta_{42}$) amino acids in length. This process is critical, since it dictates the length of the final $A\beta$, with $A\beta_{40}$ being the most common fragment, and $A\beta_{42}$ the less common but most neurotoxic form (reviewed in [6]). Thus, $A\beta$ formation requires sequential cleavage of APP by the β - and γ -secretase. The hydrophobic nature of $A\beta$ enables clustering and self-aggregation, eventually leading to the deposition as amyloid plaques. In familial AD (FAD), which accounts for only 1% of all AD cases, autosomal dominant mutations have been found in the genes encoding for APP and components of the γ -secretase, PSEN1 and PSEN2. Overall, these mutations lead to increased $A\beta$ production and shift the γ -secretase cleavage to an increase in $A\beta_{42}$ production [7, 8].

Recent evidence suggests that the small weight A β oligomers and protofibrils correlate best with the observed neurotoxic effects in AD [9–11]. These aggregates of A β were shown to interfere with receptors present in the synaptic cleft, leading to disruptions in signal transduction. On presynaptic neurons, spherical A β oligomers were found to impair the function of the neuron-specific Na⁺/K⁺-ATPase α 3 subunit causing calcium dyshomeostasis, ultimately resulting in neuronal death [12].

The main focus of research however leads towards the N-methyl-D-aspartate receptors (NMDARs). NMDAR signaling is involved in the regulation of neuronal plasticity, promotes cell survival, and, under certain circumstances, leads to cell death (reviewed in [13]). NMDARs assemble as heterotetramers of two obligatory NR1 subunits in combination with two additional NR2 (NR2A-NR2D) and/or NR3 (NR3A-NR3B) subunits. The vast majority of central NMDARs, however, assemble as diheteromers of NR1/NR2A or NR1/NR2B (reviewed in [13]). Historically, NR2A-type NMDRs are mainly linked to downstream signaling pathways promoting cell survival, whereas NR2B containing NMDRs are associated with cell death signaling [14]. Aβ was shown to mediate NMDAR internalization after binding the synaptic α7-nicotinergic receptor and therefore negatively regulating active NMDAR sites on the post-synapse [15, 16]. Furthermore, regulation of active NMDAR expression levels on the postsynaptic cell membrane is controlled by phosphorylation of the NMDAR subunit NR2B via the Scr kinase Fyn. Binding of oligomeric AB to the cellular prion protein (PrP^c) was shown to activate Fyn, which then phosphorylates NR2B [17]. This in turn stabilizes the interaction of the NR2B subunit with the synaptic scaffolding protein postsynaptic density protein 95 (PSD95) [18], resulting in an initial increase of active NMDAR sites on the postsynaptic membrane followed by the internalization of NMDARs from the synapse [19]. Binding of PSD95 to the NMDAR NR2B subunit links the NMDAR activity to the production of nitric oxide (NO), a signaling molecule that mediates NMDAR-dependent exocitotoxicity [20, 21]. In addition, internalization of active NMDARs from the postsynaptic membrane results in an overall decreased calcium influx into the dendritic spines, leading to spine shrinkage and eventually to the loss of the synaptic site [22].

1.3 Tau Phosphorylation and Neurofibrillary Tangles

Neurofibrillary tangles (NFTs) constituted by the tau protein are the second hallmark of AD. The *MAPT* (*microtubule-associated protein tau*) gene encoding tau contains 15 exons, with the major tau protein isoform being encoded by 11 exons [23]. By alternative mRNA splicing of exons 2, 3, and 10, six major tau isoforms are produced in the adult human brain. They differ by the presence or absence of one or two short inserts in the amino-terminal half (0N, 1N, or 2N, respectively), and have either three or four microtubule-binding repeat motifs in the carboxy-terminal half (3R or 4R) (Fig. 1.1).

3R and 4R tau isoforms are expressed at a 1:1 ratio in the mature human brain, though during embryonic brain development only 3R tau isoforms are present (reviewed in [24]). While mice also express only 3R tau isoforms during brain development, in contrast to humans, mature mice express only four-repeat tau isoforms (4R0N, 4R1N, or 4R2N) in the brain. Tau is enriched in neurons, but it is also expressed in other cell types such as oligodendrocytes [25]. Under physiological conditions, tau interacts with many different proteins, and has been implicated in cell signaling, neuronal development, and cell survival [24, 26]. Cellular localization of tau is tightly regulated, with the majority of tau protein located in the axon, where it is known to interact with microtubules and regulates axonal transport. However, tau is also present albeit at low levels in dendrites, where it regulates scaffolding of proteins like the kinase Fyn [27]. This kinase, in turn, phosphorylates the NMDARs as mentioned earlier, thereby mediating its interactions with the postsynaptic density protein 95 (PSD95) [28].

Due to the high numbers of serine and threonine residues, tau is a phosphoprotein and thus targeted by various kinases, the best characterized being the glycogen synthase kinase 3β (GSK3 β) and the cyclin-dependent kinase (cdk5). The phosphorylation state of tau is furthermore tightly regulated by phosphatases like the protein phosphatase 2A (PP2A) [29]. Under pathological conditions, tau becomes "hyperphosphorylated," which means that tau is phosphorylated to a higher degree

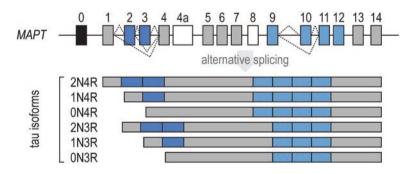


Fig. 1.1 Alternative splicing of tau. The *MAPT* (*microtubule-associated protein tau*) gene encoding tau contains 15 exons (0–14). Alternative mRNA splicing of exons 2, 3, and 10 produces six major tau isoforms in the adult human brain (top). They differ by the presence or absence of one or two short inserts in the amino-terminal half (0N, 1N, or 2N, respectively), and have either three or four microtubule-binding repeat motifs in the carboxy-terminal half (3R or 4R, bottom)

at physiological sites and at de novo—"pathological" sites. This hyperphosphorylation results in tau mislocalization [30] as well as the dissociation of tau from the microtubules and hence compromises microtubule dynamics and axonal transport [31]. Pathological phosphorylation of tau increases the capability of tau to form higher molecular aggregates, which eventually lead to the formation of NFTs. However, there is a growing body of evidence suggesting that the neurotoxic effects of tau are exerted by small soluble aggregates of tau rather than the insoluble tangles [32, 33].

It should be mentioned that no mutations in the gene encoding tau, *MAPT*, have been identified in AD patients. However, NFTs are also abundant in the absence of A β plaques in a number of different neurological disorders that are closely related to AD, where mutations have been linked to the *MAPT* gene. Several of these mutations have been expressed in transgenic mice and were investigated to model histopathological characteristics of AD [34, 35].

1.4 Risk Factors of AD

As mentioned earlier, genetic mutations causing early-onset FAD account for only 1% of the total number of AD. The greatest known risk factor, other than genetic mutations, for AD is advancing age. However, in the complex etiology of AD, life style choices, environmental as well as various genetic factors seem to play a crucial role [36]. In line with that, insulin resistance and decreased glucose metabolism might be a risk factor for sporadic AD (SAD) [37, 38]. Of the dozen genes identified until today, only the apolipoprotein E (ApoE) gene has been confirmed as a risk gene, which may be a factor in 20–25% of SAD [39, 40]. The human ApoE protein is a lipoprotein and exists as three major isoforms (ApoE2, ApoE3, and ApoE4). Genetic analysis identified ApoE4 as the major risk factor for AD [41], and neuro-pathological examination suggests that allele dosage is associated with increased A β load, A β oligomers, and plaque accumulation in the brain [42–44].

1.5 Mouse Models for AD

The histopathological hallmarks are indistinguishable when FAD is compared with SAD, and the identification of pathogenic mutations in the *APP*, *PSEN1*, and *PSEN2* genes leading to the accumulation of A β in patients with early-onset FAD resulted in the formulation of the "Amyloid Cascade Hypothesis." According to this hypothesis, the accumulation of A β leads to a pathogenic cascade, eventually resulting in tau pathology, memory deficits, and neuronal loss. Focusing on A β and tau pathology, multiple transgenic animal models have been generated, aiming to recapitulate important aspects of the human disease [45]. Since then, transgenic mouse models have become instrumental in understanding AD pathology and are now the major in vivo tool for AD research. Their contribution to the understanding of AD pathology will be reviewed, focusing on fundamental studies and high lighting recent insights into the disease.

The first APP transgenic mouse, that reproduced AD-like pathology, was introduced in 1995 and expressed high levels of the human mutant V717F-form of APP, under control of the platelet-derived growth factor (PDGF) mini-promoter [46]. These PDAPP mice presented with extensive depositions of extracellular amyloid plaques and neuritic dystrophies. Aß depositions were formed initially in the hippocampus, followed by plaque formations in cortical areas. Behaviorally, PDAPP mice showed age-dependent memory impairments when tested in the Morris Water Maze paradigm, which correlated with A β aggregation [47]. A plethora of APP mutant mouse strains have since been generated, with the most popular examples being the J20 (hAPPswe/V717F), APP23 (hAPPswe), and the Tg2576 (hAPPswe) strains [48-50]. Altering γ -secretase activity by expression of the M146L PSEN1 mutation in an APP transgenic background harboring the Swedish (KM670/671NL) mutation resulted in increased A β_{42} production and deposition as well as neuronal loss, which was reported even before A β plaque formation was observed [51, 52]. Although most of these transgenic mice fail to model all aspects of AD pathology, with most of them lacking neuronal loss or tau pathology, these and other strains recapitulate Aß plaque formation and memory impairments and have become the most commonly used tools to study AD-related pathological mechanisms in vivo [45].

As mentioned earlier, in AD patients no mutations have been identified in the gene encoding tau, *MAPT*. However, NFTs are also found in a heterogeneous group of neurodegenerative disorders described as frontotemporal dementia (FTD), where mutations have been identified in the *MAPT* gene. Several of these mutations have been expressed in transgenic mice and they have significantly contributed to our current understanding of the pathophysiology of tau, not only FTD but also in AD. The expression of human mutant P301L tau reproduced aggregation and NFT formation in mice for the first time successfully [53, 54] and recent models have built on their success.

In line with this insight, the link between A β toxicity and tau pathology was established when the APP transgenic Tg2576 mouse line was crossed with the JNPL3 (P301L) tau transgenic mice [55]. The double transgenic animals exhibited neurofibrillary tangle pathology that was substantially enhanced in the limbic system and olfactory cortex compared to the parental JNPL3 strain, whereas Aß deposition was not altered in the progenies. Similarly, crossing the APP23 and JNPL3 increased tau phosphorylation and aggravated preexisting NFT pathology [56]. Interestingly, intracerebral injection of brain extracts from aged APP mutant mice (APP23) as well as synthetic A β_{42} fibrils into tau transgenic mice (JNPL3 or into pR5 strain) accelerated tau phosphorylation and NFT formation [56, 57], suggesting that it is A^β itself rather than a cleavage product of APP that promotes tau pathology. Furthermore, intracerebral injections of AD patient and APP23 transgenic brain extracts were employed to answer the question if seeding AB can induce amyloidosis. Indeed, these injections caused subsequent Aß deposits in APP23 transgenic mice [58]. Injections of APP23 and APP/PSEN1 into APP23 and APP/PSEN1 mice resulted in four different types of pathology, suggesting that exogenously induced amyloidosis depends on both the host and the source of the agent, bearing similarities to prion disease [59].

Tau pathology follows a distinct pattern and hence cell to cell transmission is one hypothesis of central tau spreading [60, 61]. Trans-synaptic spreading from the entorhinal cortex to the hippocampus has been suggested, with tau being secreted into the extracellular space from neurons independent of cell death [62, 63]. The cellular mechanism regulating tau release is not well understood, but a recent study showed that neuronal activity triggers tau release into the extracellular space and hence linked trans-synaptic spread of tau pathology with synaptic activity itself [64]. In vivo data also suggested that tau shows similarity to a prion-like spreading mechanism. For example, injection of brain extracts from mutant P301S tau-expressing mice into the brain of transgenic wild-type tau-expressing mice, which normally do not show filamentous tau aggregates, induced assembly of human tau into filaments [65] and spreading of pathology was shown to be determined by connectivity in contrast to proximity [66].

The hypothesis that $A\beta$ and tau do not act in isolation but show rather synergistic roles in AD is further promoted by findings that a reduction of tau levels in APP mutants ameliorates $A\beta$ -induced deficits without changing Aß depositions [67]. Tau is critical in mediating the interaction of Fyn and the NMDAR subunit NR2B, which eventually recruits PSD95 into a multi-protein complex that regulates excitotoxicity as already mentioned above. Elevated levels of synaptic A β were shown to cause an over-activation of NMDARs resulting in downstream toxicity. In a situation, where both phosphorylated tau and A β are elevated, more Fyn is recruited into the dendritic spines causing an augmenting toxic effect of A β [27].

Moreover, when Fyn is excluded from the spines, either by deleting the tau domain responsible for Fyn localization, by deleting tau completely [27], or by depleting Fyn itself [68], neuronal excitotoxicity is abolished, strongly suggesting a toxic Fyn-tau-amyloid triad [69]. Additionally, $A\beta$ -mediated calcium elevation via NMDARs has been demonstrated to increase tau phosphorylation via the AMPK and PAR-1/MARK pathway on an epitope that has been associated with a late disease phase [70, 71].

The first triple AD mouse model (3xTg-AD) combined the M146V PSEN1 with the Swedish APP mutation and co-expressed mutant tau (P301L) [72, 73]. This model presented with amyloid plaques as well as NFT formation at the age of 2-4 months, and exhibited behavioral and neuronal symptoms of AD including synaptic dysfunction and LTP deficits [72-74]. Another triple transgenic mouse model was established, co-expressing mutant tau (P301L), PSEN2 (N141I) and the Swedish APP mutation. These tripleAD mice develop tau and amyloid deposits in an agedependent manner, starting with tau accumulation at an age of 4 months [70, 75]. The observed impairment in spatial learning and memory appears to be independent of brain pathology. Crossing the double mutant AD model expressing the human tau P301L mutation in combination with the Swedish and London (V717I) APP mutation with a human PSEN1 (A246E) transgenic mouse resulted in the PLB1-triple strain [76]. These animals show age-related neuropathology including intraneural and oligometric A β accumulation as well as hyperphosphorylated tau at the age of 6 months. Amyloid plaques are low in number at an age of 21 months and these animals show no overt formation of NFT pathology. Furthermore these animals reveal cognitive deficits as well as impaired hippocampal plasticity [76].

In order to overcome inherent caveats that naturally arise with the overexpression of human mutated forms of any protein in rodent models, more recent studies have manipulated the endogenous mouse APP or PSEN1 genes to create humanized mouse models [77, 78]. Employing a knock-in approach, either the Swedish mutation alone or in combination with the Beyreuther/Iberian (I716F) mutations (App^{NLNL} and App^{NL-F/NL-F}, respectively) was introduced into the mouse APP locus [78]. These models show endogenous levels of APP while robustly overproducing A β_{42} . App^{NL-F/NL-F} mice produced more A β_{42} levels than the $App^{NL/NL}$ strain and also showed a higher $A\beta_{42}/A\beta_{40}$ ratio. These changes were accompanied by a more pronounced and agedependent Aß pathology, signs of neuroinflammation, synaptic loss, as well as memory impairments. Interestingly, no memory deficits were observed in the App^{NL/NL} mutants, suggesting that the underlying mechanisms are independent of C-terminal fragment β (CTF- β) levels, a hypothesis which was based on the overexpression of mutant human APP forms in former studies [79]. A third mouse line harboring the Arctic (APP E693G) mutation in addition to the Swedish and Beyreuther/Iberian mutations (*App^{NL-G-F/NL-G-F*) showed a more pronounced Aβ pathology as well as behav-} ioral alterations [78]. Moreover, the $App^{NL-G-F/NL-G-F}$ strain presented with additional, subcortical amyloidosis, consistent with the histopathology of patients carrying this mutation. Interestingly, transgenic mice overexpressing human APP, harboring the Arctic mutation, have failed to recapitulate this phenotype so far [80]. Another approach to model AD pathophysiology in mice without the overexpression of mutant transgenes was described recently by crossing the humanized APP and PSEN1 FAD knock-in mice with mice expressing human tau (htau), on a mouse MAPT null background (APP/PSEN1/htau) [77]. These mice show a mild and age-dependent plaque formation as well as tau hyperphosphorylation. Behaviorally, these mutant mice revealed reduced motility at old ages and exaggerated fear responses, which was due to a synergistic interaction between $A\beta$ and phosphorylated tau. Hence, these new mouse models provide valid tools to distinguish facts from artifacts in the phenotypes of commonly used AD models and will be instrumental in validating pathway analysis that may link Aβ amyloidosis to tauopathy and genetic risk factors beyond ApoE.

ApoE4 may contribute to AD through at least two distinctive pathways, one of which is amyloid-dependent [81]. Crossing ApoE null mutants with the PDAPP mice strongly attenuated Aβ levels and plaque loads in the brain [82], whereas viral mediated overexpression of ApoE4 augmented Aβ depositions [83]. Detailed analysis of various Aβ parameters in aging APPV717F transgenic mice expressing either mouse apoE, no apoE or human ApoE2, ApoE3, or ApoE4 demonstrated that ApoE facilitates Aß fibril formation [84]. Furthermore, it has been suggested that the ApoE4 genotype could lead to an earlier impairment of brain insulin signaling, possibly contributing to an earlier onset of AD [85]. Another pathway of ApoE4 mechanisms in AD does not involve amyloid. If neurons are stressed, they overproduce ApoE as part of their repair mechanism. The ApoE4 allele, however, gave rise to toxic products when it was broken down in the organism, which were linked to mitochondrial stress and damage, eventually leading to cell death [80, 86–88]. Increased oxidative stress due to mitochondrial dysfunction has been widely recognized as a contributing factor of AD pathology [89]. Reactive oxidative species

(ROS) are an unavoidable physiological byproduct, which can cause damage to the biological system when present in excess amounts. Neurons overexpressing FADcausing APP demonstrate mitochondrial fragmentation and structural damage [90, 91]. APP transgenic animals harboring the Swedish and London mutation show early energy dysfunction as demonstrated by a decreased mitochondrial membrane potential, adenosine triphosphate (ATP) level and complex IV activity [92]. Interestingly, these mitochondrial deficits are observed in the presence of elevated Aß levels, prior to plaque formation [92, 93]. APP/PSEN1 mice, which present with plaque formation at 3 months, present even stronger reductions in mitochondrial membrane potentials and ATP levels compared to age-matched APP mutants. Consequently, Aβ-induced mitochondrial dysfunction starts very early in the progress of pathology and accelerates with increased age and A β load [94]. Mitochondrial fragmentation and structural damage was also shown in the brains of the Tg2576 and APP/PS1 transgenic mouse strains [95]. In line with this evidence, crossing the APP mutant J20 strain with a mouse line lacking the mitochondrial enzyme Aβ-binding alcohol dehydrogenase (ABAD) has been shown to increase the generation of ROS as well as causing spatial learning memory deficits [96].

Interestingly, stereotaxic injection of human tau oligomers into wild-type mice caused mitochondrial dysfunction by interfering with the electron transport chain complex I and activated the apoptotic mitochondrial pathway [97]. Although Aß and tau pathology are the main hallmarks of AD pathology, it still remains to be fully elucidated how they relate to each other. Hence, a close relationship between mitochondrial failure, AB, and tau has also been suggested recently. Further analysis of a tau transgenic mouse line (pR5), expressing the P301L mutation, revealed mitochondrial dysfunction including deregulation of mitochondrial respiratory chain complex components as well as antioxidant enzymes [98]. Furthermore, mitochondria of tau pR5 mice show increased vulnerability towards A_β in vitro [94, 98]. Using quantitative proteomics and functional assays, analysis of the ^{triple}AD model revealed that Aβ and tau act synergistically in amplifying mitochondrial respiratory deficits. Remarkably, deregulation of complex I was related to tau, whereas deregulation of complex IV was A β -dependent [75]. These data complement findings in the 3xTg-AD mouse model that showed decreased activation of regulatory enzymes of the mitochondrial complex as well as increased oxidative stress and lipid peroxidation [99]. Disturbed calcium homeostasis was also reported in AD with regard to mitochondrial dysfunction [100]. Consistent with this evidence, aberrations in calcium transients were found in APP as well as tau mutants [101]. Consequently, besides the treatment and/or removal of both AB and tau pathology, strategies to protect cells at the mitochondrial level by stabilizing or restoring mitochondrial function or by interfering with the energy metabolism appear to be promising in treating or preventing AD. In line with that, Methylene Blue (MB) has recently attracted some attention as antioxidant and mitochondrial protective effects have been described previously [102]. MB was shown to influence tau aggregation [103, 104] and ameliorate A β aggregation and deposition, possibly due to remodeling toxic soluble A β oligomers [105]. A recent report showed that MB is able to enhance cell viability by reducing ROS levels [106]. Other antioxidants such as Ginkgo